

GREENBLUM & BERNSTEIN, P.L.C.
Intellectual Property Causes
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

GP 2744A

Attorney Docket No. P18663

In re application of : Kazutoshi YASUNAGA et al.

Serial No. : 09/440,093

Group Art Unit : 2741

Filed : November 15, 1999

Examiner : Opsasnick

For : EXCITATION VECTOR GENERATOR, SPEECH CODER AND SPEECH DECODER

THE COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

Sir:

Transmitted herewith is a Preliminary Amendment in the above-captioned application.

- ___ Small Entity Status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously filed.
 ___ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
 ___ An Information Disclosure Statement, PTO Form 1449, and references cited.
 ___ No additional fee is required.

The fee has been calculated as shown below:

| Claims After Amendment | No. Claims Previously Paid For | Present Extra | Small Entity | | Other Than A Small Entity | |
|-------------------------------------|--------------------------------|---------------|--------------|-----|---------------------------|-----------|
| | | | Rate | Fee | Rate | Fee |
| Total Claims: 24 | *20 | 4 | x 9= | \$ | x 18= | \$ 72.00 |
| Indep. Claims: 19 | **3 | 16 | x 39= | \$ | x 78= | \$1248.00 |
| Multiple Dependent Claims Presented | | | +130= | \$ | +260= | \$ 0.00 |
| Extension Fees for Month | | | | \$ | | \$ 0.00 |
| Total: | | | | \$ | Total: | \$1320.00 |

*If less than 20, write 20

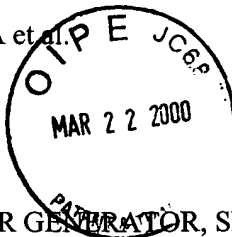
**If less than 3, write 3

___ Please charge my Deposit Account No. 19-0089 in the amount of \$_____.

☒ A Check in the amount of \$1320.00 to cover the filing fee is included.☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0089.☒ Any additional filing fees required under 37 C.F.R. 1.16.☒ Any patent application processing fees under 37 C.F.R. 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136)(a)(3).


Bruce H. Bernstein
 Reg. No. 29,027

RECEIVED
 MAR 24 2000
 TFC 2700 MAIL ROOM



P18663.A02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kazutoshi YASUNAGA et al.

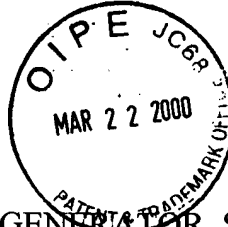
Appl. No: 09/440,093

Filed: November 15, 1999

For: EXCITATION VECTOR GENERATOR, SPEECH CODER AND
SPEECH DECODER

Group Art Unit : 2741

Examiner: Opsasnick



PRELIMINARY AMENDMENT

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Prior to examination of the above-captioned application, please amend the claims
as follows:

RECEIVED
MAR 24 2000
TC 2700 MAIL ROOM

IN THE CLAIMS

Please cancel claim 1 without prejudice or disclaimer of the subject matter thereof.

Please add the following new claims 2-25 for consideration by the Examiner:

2. A code excited linear prediction speech decoder, comprising:
- an adaptive codebook capable of generating an adaptive code vector;
 - a random codebook capable of generating a random code vector;

03/23/2000 ASAHLE 00000035 09440093

01 FC:103 72.00 OP
02 FC:102 1248.00 OP